Analyzing semantic maps: a multifactorial approach¹

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1. Introduction

There are two general approaches to semantic maps, which are also represented in this volume. These approaches are sometimes characterized as traditional vs. statistical approaches, as implicational vs. probabilistic maps (Wälchli, this volume), or as first-vs. second-generation maps (Sansò, this volume). In the traditional approach (conceptual) categories/ functions are preselected and a network of categories is constructed in such a way that the arrangement reflects formal similarities between adjacent categories. The connections between categories, represented in the form of lines, are usually taken as indicative of semantic overlap, which can be captured in terms of shared semantic components (e.g., features; cf. Zwarts, this volume). The traditional approach has been developed by Anderson, Croft and Haspelmath, among others; the details are well known and need not be rehearsed here. In the alternative approach (maybe in its clearest form represented in the work by Wälchli, this volume) the categories are not preselected, and semantic maps are automatically generated from parallel corpora through the use of statistical scaling methods.

As noted by Cysouw (2007), the traditional approach faces a number of problems. First, it can't represent frequencies of individual polysemy patterns.² A related problem is that as the amount of data increases vacuous maps become more and more widespread, since frequent, rare and exceptional patterns will all be represented on the map. Second, the traditional method is overgenerating since not all the predicted patterns are actually attested³. The most common response to the first problem by practitioners of the traditional approach is that semantic maps reflect most frequent polyfunctionality patterns in a certain domain, thus exceptional patterns would be featured out on a larger sample. For example, Narrog & Ito (2007) do not represent polysemies of (instrumental) case markers found in less than 10% of cases. There may be more principled methods to distinguish between recurrent and exceptional patterns; for example, Rice and Kabata (2007) use Fisher's exact test to determine what patterns of case polysemy (involving allative markers) are statistically significant. In other words, those polysemy patterns that are rare and have been overlooked in the early work on semantic maps, based on small scale comparison, are likely to be featured out on a larger sample as statistically insignificant. Indeed, maps proposed in earlier work are often confirmed on a larger

¹ Acknowledgement. I am grateful to Sonia Cristofaro for useful comments on the draft version of this article. The usual disclaimers apply.

² Note that although the traditional approach as it stands cannot represent *absolute* frequencies of individual polysemy patterns, it does capture *relative* frequencies of extended vs. restricted patterns (see Section 4 for further discussion).

³ It is important to keep in mind that once the configuration of the semantic map is established it gives rise to predictions which go beyond the dataset on the basis of which the semantic map has been established. It is exactly this feature that makes (traditional) maps theoretically interesting and warrants the term 'implicational maps' (Haspelmath 2003). Yet, this advantage turns into a disadvantage if certain patterns predicted to be possible (i.e. which are compatible with a semantic map) never turn up even in extended samples.

sample. Thus, Narrog & Ito's (2007) study largely corroborated Haspelmath's (2003) map of instrumental and related functions, and Mauri's (this volume) map of coordination is "slightly different" from Malchukov's (2004) map of contrast markers as far as same categories are addressed. From this perspective, exceptional polysemies do not constitute a major problem for the semantic map approach, as they would not make it into the map. While this is a legitimate approach it involves data reduction, so the question arises to what extent such data reduction is justified. This question can only be answered by analyzing motivations behind common vs. rare polysemy patterns. In this paper I will show that rare patterns are often not indicative of (immediate) semantic relatedness of respective categories, but are due to other factors. This does not mean that such minority patterns should be dismissed; on the contrary, they deserve to be analyzed in their own right, as they can provide important insights into motivations behind polyfunctionality. Moreover, the problem of overgeneration, which has not been addressed in the literature so far, can arguably also be solved by invoking other factors inhibiting broader polysemies (see §4 for further discussion).

Another response to limitations of the traditional approach was introduction of statistical scaling techniques (such as multidimensional scaling) that can represent relative frequencies of individual polysemy patterns more readily and involve less data reduction (Wälchli, this volume). Yet, as pointed out in the literature (Cysouw 2007; Zwarts, this volume, Narrog, this volume), the latter method has its own limitations. First, unlike traditional maps, the maps generated by multidimensional scaling (MDS) are not implicational, thus they cannot be used to constrain the data and therefore are "less interesting" (in this sense, they are more similar to vacuous maps in the traditional approach), nor can they readily represent a diachronic dimension (Narrog, this volume). Moreover, automatically generated maps are often difficult to interpret (which is somewhat ironic, given that they have been developed as a visualization tool), as the emerging picture is quite 'messy' (this might be partly a consequence of compression of multiple dimensions into the two-dimensional space; Cysouw 2007). Thus, it is often not clear whether there is a certain clustering pattern in the first two dimensions (cf. questionmarked clusters in Sansò's contribution), and turning to further dimensions makes identification of clusters still more problematic (e.g., Wälchli, this volume, finds only the first three dimensions interpretable in his dataset). Thus while MDS maps visualize general semantic dimensions or tendencies in a certain domain, they are less suited for capturing semantic connections between individual categories. Therefore, unlike traditional maps, MDS maps cannot directly feed semantic analysis. One reaction to this is Wälchli's (2007; this volume) proposal that conventional semantic analysis (including formal semantics) should give way to 'similarity semantics'. A less radical approach, advocated in this paper, is that semantic maps (whether constructed in a conventional way or automatically generated) can provide an important insight into semantics of the analyzed categories, once the interfering factors, introducing "noise", are featured out. Only at this later stage the semantic map can feed the semantic analysis, aiming to account for the recurrent polysemy patterns in terms of shared semantic components. In this way semantic maps can become an important tool for semantic analysis and not just a visualization technique (a frequent criticism heard from opponents of the semantic map methodology).

In what follows I discuss a number of semantic maps proposed for different domains in the literature, including my own earlier work. The discussion will be intentionally programmatic as my goal is not to present the data, but rather to raise methodological questions relevant to the semantic map approach. In particular, I will argue that similar encoding may not reflect a semantic similarity, but may be due to other factors, both functional (markedness, economy, distinguishability), structural, as well as diachronic. Only when these other interfering factors are featured out, a semantic network emerges. Thus, it might be useful to distinguish terminologically between a *similarity map* (e.g., obtained through MDS visualization technique) and *a semantic map*, which emerges once the "noise" is reduced. A similarity map is shaped by cumulative effect of different factors contributing to polyfunctionality. A semantic map, on the other hand, represents, so to say, a semantic residue of the similarity map once the other factors are featured out.

2. Markedness effects

Baerman et. al. (2005) is a recent study of inflectional syncretism, in general, and of case syncretism, in particular. Since syncretism depends on a number of factors both functional and phonetic, it is not surprising that the patterns of syncretism are not consistent across languages. Thus, Baerman et. al. (2005) conclude that only patterns involving syncretism of core cases are cross-linguistically consistent. Another generalization noted by Baerman et. al. (2005) is that case syncretism shows strong dependency on number (in fact this is the only case of a strong interaction between inflectional categories noted for the nominal domain). Not surprisingly, case syncretism is found in non-singular numbers more frequently, as compared to the singular. The following example from Sanskrit, where syncretism is more pervasive in the plural than in the singular and is more pervasive in the dual than in the plural, is representative in that respect.

Table 1. Sanskrit a-stem noun (Baerman 2008 citing Whitney)

'god'	singular	dual	plural
NOM	devas	devāu	devās
VOC	deva	devāu	devās
ACC	devam	devāu	devān
INS	devena	devābhyām	devāis
DAT	devāya	devābhyām	devebhyas
ABL	devāt	devābhyām	devebhyas
GEN	devasya	devayos	devānām
LOC	deve	devayos	deveșu

Does this particular pattern of case syncretism reflect semantic similarities between individual cases? Not obviously so: while nominative and vocative forms may be regarded to constitute a natural class, there is no clear semantic motivation for the syncretism of dative and instrumental, or dative and ablative cases. Moreover, semantic similarities can't explain why syncretism is more pervasive in non-singular numbers, as

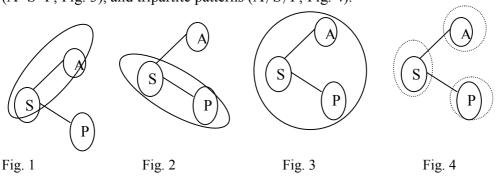
compared to the singular. Indeed, there is no obvious reason why semantic roles should be better distinguished for individuals rather than groups.

The main contributing factor to the syncretism pattern exemplified by Sanskrit is well-known and has been described in terms of markedness. It has long been noted in the literature that inflectional possibilities of the marked member of a category are reduced as compared to the unmarked member (Greenberg 1966: 27; Croft 1990; Croft 2003: 77-80). Croft, following Greenberg, even regards such skewing as one of markedness diagnostics which he dubs 'inflectional markedness'. Now, markedness is not an unproblematic notion, which can be probably reduced to other factors such as frequency (as suggested by Haspelmath 2006⁴), yet effects of inflectional markedness are pervasive and can be found in different domains. To cite another example from the nominal domain: recent work on syncretism patterns in pronominal systems revealed that neutralization of person categories ("vertical homonymies") occur more frequently in non-singular forms of both free pronouns and bound pronouns (Cysouw 2003; Siewierska 2004; Baerman et al. 2005). Similar examples abound in the verbal domain, as well: for example, tense-aspect-mood distinctions as found in the (unmarked affirmative) verbal forms are frequently neutralized in the negative forms (see Croft 1990, Aikhenvald & Dixon 1998 for more discussion and exemplification of markedness effects).

The question is what consequences have the effects of inflectional markedness for semantic maps. It seems that if semantic maps are designed to capture similarities in semantic functions, such markedness effects should be featured out, since syncretization of inflectional forms in the marked member is not driven by semantic similarity in the first place.

3. Other functional factors: Economy and distinguishability

There is a tradition of representing alignment patterns (ergative, accusative, neutral) in the forms of the diagrams reminiscent of semantic maps. The first linguist to use these schemes was apparently Charles Fillmore, but since 1970-s, in typological studies (by Bernard Comrie, R.M.W. Dixon, Frans Plank and A.E. Kibrik, among others) it has become conventional to represent alignment patterns in such a way. Thus typology textbooks (e.g., Whaley 1997; Song 2001) include the following representations of different alignments: accusative ($A=S\neq P$; Fig. 1), ergative ($A\neq S=P$; Fig. 2), neutral (A=S=P; Fig. 3), and tripartite patterns ($A\neq S\neq P$; Fig. 4).



⁴ Thus one could argue that fewer distinctions are made in the marked category because marked categories are less frequent as compared to unmarked, and are therefore less resistant for analogical leveling leading to syncretism.

Originally, these schemes were not conceived as semantic maps. For example, Kibrik (1985; cf. Plank 1985), discusses different alignment patterns from a multi-factorial perspective. While he admits that proper encoding of semantic roles is an important factor, he also acknowledges the role of other factors such as economy or distinguishability of arguments. Yet, more recently the alignment schemes have been explicitly reformulated in terms of semantic maps. Thus, Croft (2001: 137, 147) proposed the following semantic map for the encoding of core arguments.

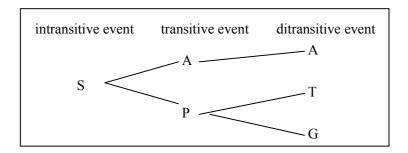


Fig. 5. Croft's conceptual space for core arguments (participant roles).

This semantic map conveniently captures the variation of alignment patterns across languages, both in monotransitive and ditransitive domain. In the latter domain the distinction is made between languages with a direct vs. indirect object distinction ('indirective alignment'; schematically, $T=P\neq R$), and languages with a primary vs. secondary object distinction ('secundative alignment'; schematically, $T\neq P=R$); Dryer 1986; Haspelmath 2004; Siewierska 2004. Given standard assumptions about well-formedness of semantic maps, this approach correctly predicts marginality of alignment types which would display discontinuous segments on the map (such as the anomalous $S\neq A=O$ pattern). Thus the following two maps represent two of the possible alignment types complying with the semantic map: the accusative 'indirective' language (such as German (see (1)), and an ergative language with a 'secundative' alignment, such as Eskimo (see (2)).

- (2) German

 Ich gab ihm ein Buch
 'I (nom) gave him (dat) a book'
- (3) Eskimo (West Greenlandic; Fortescue 1984: 88)

 **Uuma Niisi aningaasa-nik tuni-vaa
 that.ERG Niisi money-INSTR.PL give-IND.3s->3s

 '(He) gave Nisi money'

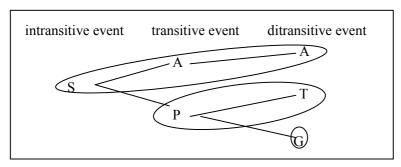


Fig. 6. Alignment maps: German

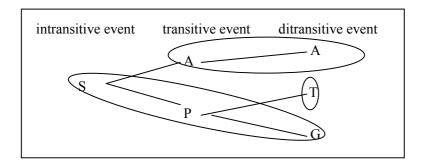


Fig 7. Alignment maps: Eskimo

More similar alignment schemes can be found in Dryer (2007), who however does not call them maps.

Yet, the alignment map in Figure 5 is not unproblematic, as we find exceptions both in monotransitive and ditransitive domain. Thus, the anomalous Double-Oblique pattern (S \neq A=O), also called "horizontal alignment", is cross-linguistically rare, but is attested in a number of Iranian languages (see (6) from Vafsi below). Another type of violation, this time in the ditransitive domain, is found in Spanish. As is well-known, Spanish displays differential object marking (DOM) in the monotransitive domain, that is, P if animate needs to be marked by the dative-accusative preposition a, but remains unmarked if inanimate. By contrast, the object-theme in the ditransitive domain always remains unmarked (as in (4)); note that marking an animate T by the preposition a makes the construction (5) unacceptable:

Spanish (Company 2003: 234)

- (4) El maestro presentó o su mujer a sus alumnus the teacher introduced his wife.ACC to his pupils.DAT 'The teacher introduced his wife to his pupils'
- (5) ?El maestro presentó **a** su mujer a sus alumnus the teacher introduced **to** his wife.ACC to his pupils.DAT 'The teacher introduced his wife to his pupils'

Since intransitive subject is equally unmarked, the resultant pattern violates contiguity, as T shares the same encoding with S, but not with P. How can one account for such

counterexample? One feature of the ill-formed pattern in Spanish is that it involves a zero marking. Now, distribution of zero markers is arguably governed by considerations of economy and distinguishability and need not reflect a semantic affinity. Note that marking an (animate) T with the 'dative' preposition results in a pattern where both R and T are introduced by the same preposition (see the unacceptable pattern in (5)), thus violating distinguishability (see Malchukov 2008 for an optimality-theoretic account of the Spanish pattern along these lines). Economy considerations are equally important: arguably, absence of marking is not as strong indicator of semantic relatedness as overt marking and thus zero markers incur violations of the map. Another instructive example of the role of Economy concerns the distribution of Direct case in languages with a twoterm case system, recently discussed by Arkadjev (2005; 2008+). Arkadjev observes that in some of these languages (languages of the 'distributing' type, in his terms) distribution of the direct case (as opposed to the general oblique case) is problematic for the semantic map approach. In these languages (e.g., some Pamir languages) Direct case is found apart from the subject also on nouns in the peripheral functions such as temporal or locative, which are not connected to the subject function on his map. Given that Direct case is typically unmarked, economy provides a ready explanation for such cases. Indeed, in many languages nouns denoting temporal notions or place names remain unmarked, but this does not implicate semantic similarity with the subject, rather it indicates that the respective roles are recoverable in the absence of marking (see Malchukov & de Swart 2008 for further discussion of economy and distinguishability effects in case-marking; cf. Aristar 1997). Thus, distribution of zero markers need not reflect a semantic similarity but may be rather a matter of economy/ recoverability of respective functions.⁵

4. Distinguishability as an inhibiting factor

Viewing alignment schemes as semantic maps may be problematic for another reason as well. In particular, it is not clear whether S is a unitary category in semantic terms, and thus it may be misplaced on the semantic map of core (macro)roles. Indeed, in semantic terms, it is more adequate to distinguish between agentive (or, unergative) subjects (Sa) and patientive (or, unaccusative) subjects (Sp). Given this distinction we can arrive at the following configuration A - Sa - Sp - P, which makes more sense semantically. In particular, this configuration allows for representing different kinds of split intransitive systems which group together A with Sa and/or P with Sp (Dryer 2007; cf. Dixon 1994; Song 2001). A more radical solution is to exclude S from the semantic map altogether, as it does not correspond to one role. Note that this exclusion is also justified for another reason as well. As noted above, S is usually unmarked and thus its distribution will be less informative for the arrangement of functions on the semantic map. The latter approach is taken by Malchukov & Narrog (2008), who propose the following semantic map (conceptual space) for the case-roles from the non-local domain.

⁵ See also Wälchli, this volume, for a similar observation.

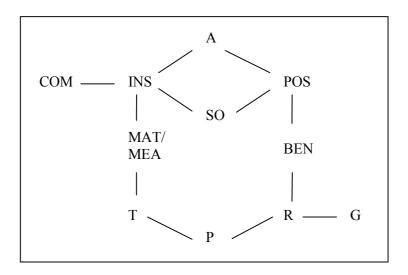


Fig. 8. The semantic map (conceptual space) for major case relations (non-spatial domain)

In addition to agent (A), Patient (P), Theme (T) and Recipient (R), as familiar from alignment maps, the map in Fig. 8 introduces new case functions, mostly from a nonspatial domain: Instrument (cf. He hit the dog with a stick), Material/Means (Cf. He built the house with bricks), Beneficiary (cf. I built him a house), Possessor (cf. I saw his house), Source (cf. He returned from the store), Goal (cf. He returned to the store), Comitative (Cf. He returned with a friend). The upper part of the map in Fig. 8 linking agents to instruments and causes, on the one hand, and to genitives, on the other, represents different reduction of the agentivity prototype (Grimm 2005). Thus, possessors and datives share sentience property with agents but lack the feature of instigation, while instruments are instigating entities but lack sentience. The lower part of the map is reminiscent of the semantic map for the ditransitive domain in Fig. 5, even though the T role is not conceived as restricted to ditransitive themes but also pertains to monotransitive themes (they differ from patients in that they do not necessarily involve a change of state in the course of a verbal event). Note that in contrast to alignment maps in Fig 1-5 the proposed map does not include a separate S function, since S does not correspond to a single (macro)role. The upper and lower parts of the map are linked by two "routes", one leading from Instrumental to Theme, and another from Possessive to Recipient marking. The former route is mediated by the Means/Material function (cf. load the cart with bricks), which shows similarities to Instrument, on the one hand (may be conceived as an instigating entity), and with the Theme, on the other (standing in an incremental relation to the verb). This accounts for the fact that Means/Material function may pattern either with Instruments or with Themes across languages, or indeed in the same language, resulting in a well-known 'spray/load alternation' (cf. load bricks on the cart vs. load the cart with bricks). Finally, one of the conceptual underpinnings of the Possessive-Benefactive- Recipient connection may be the "possession as goal" scheme, as suggested by Heine (1997; see 6.2 for further discussion).

The proposed map has both a semantic plausibility, but also finds empirical support in the most frequent polysemy patterns, as described in the contributions to Malchukov & Spencer (eds.) 2008, dealing with individual cases: the dative-allative polysemy, as familiar from English (recall the functions of *to*), is common across

languages (Creissels 2008; Næss 2008), the dative-genitive polysemy is attested in many Australian and Austranesian languages but is also found elsewhere (Lander 2008; Næss 2008); the genitive-ablative polysemy is especially common in languages using adpositions for these functions (Heine 2008, Lander 2008); the dative-accusative polysemy is familiar from languages with differential object marking (Næss 2008; Malchukov & de Swart 2008); instrumental-accusative polysemy is typical for languages with secundative alignment (Kittilä & Malchukov 2008); the instrumental—comitative polysemy is the most frequent polysemy pattern involving both cases (Narrog 2008, Stolz et. al. 2008); finally, the ergative-instrumental and ergative-genitive polysemies are identified as the two most frequent polysemy patterns involving ergative case (Palancar 2008). Thus the proposed map captures the major polysemy patterns of case-markers in the non-spatial domain.

In the light of the previous discussion, let us now consider the anomalous Double-Oblique pattern ($S\neq A=O$), which is problematic for the alignment maps. The Double-Oblique pattern is cross-linguistically rare, yet it is attested in a number of Iranian languages (Payne 1980; Bossong 1985; Stilo 2004; Arkadjev 2005). This pattern is illustrated by an example from Vafsi, where A is marked by the oblique case in the past tense, and (prominent) P is likewise marked by the oblique.

(6) Vafsi (Stilo 2004: 244)

luas-i kærg-e=s bæ-værdæ.

fox-obl.sg chicken-obl.sg=3sg pfv-take.pst

'The fox carried off (the) chicken'

Given that both A and P are marked (by the oblique case) and S is unmarked, this pattern incurs a contiguity violation of alignment maps in Fig.1-5. Indeed, this pattern seems to be puzzling as it violates all the functional motivations behind case marking: it does not reflect semantic similarity, nor does it distinguish between arguments and is not economical. However, this pattern is conceivable in the light of diachronic data. As noted in the literature (Kerimova & Rastorgueva 1975; Arkadjev 2005), the double oblique pattern in these languages results from the meaning extensions of the originally polyfunctional dative-genitive case. This syncretic case developed into the marker of a (prominent) object, on the one hand, and to the ergative marker in past tenses, on the other hand. Note that both developments are not unusual. Ergative markers of genitive origin are common in ergative languages (e.g. in Eskimo), while DAT to ACC shift constitutes a well-known grammaticalization path, familiar from languages with differential object marking (such as Hindi; Bossong 1985; Lehmann 1995). Thus, the double-oblique pattern is due to a polysemy chain, with individual polysemy patterns well-attested elsewhere. Schematically:

If ERG=GEN, GEN = DAT, DAT = ACC, then ERG=ACC resulting in a A=P \neq S pattern

Note that although this scenario cannot be represented on the alignment maps in Fig. 5, it can be easily captured on the semantic map for case functions in Fig. 8. Thus, a map violation in this case is apparent, it disappears once further functions (which are absent on the alignment map in Fig. 5, but present in the case function map in Fig. 8) are taken into account. This however, also provides a (partial) explanation, why this pattern is less

frequent: it involves a 'long-distance' polysemy. Note that in relative terms the languages displaying an 'extended' polysemy should be rarer than languages displaying 'restricted' polysemies contributing to the polysemy chain. Thus, a polysemy chain x=y=z, will be found in a subset of languages, which display restricted polysemies x=y and y=z. Thus, while the traditional approach to semantic maps cannot (and is not intended to) capture absolute frequencies of individual polyfuncytionality patterns, it can straightforwardly account for relative frequencies in extended vs. restricted polysemy chains.

In addition, there are other functional reasons why this polysemy pattern involving A and P should be infrequent. Note first that semantic similarity cannot account for such polysemy, as semantic similarities support polysemies of adjacent (connected) categories on the map, while non-adjacent categories need not share any common semantic features. In our case, similar encoding of A and P does not reveal any semantic commonality between these (macro)roles. More importantly, the resultant pattern fails to distinguish between subjects and objects. Similar effects of distinguishability as an inhibiting factor for extended polysemies can be found elsewhere. Thus, while extension of instrumental case marker to As (resulting in an ergative pattern) and to Ts (resulting in a secundative pattern) is not uncommon, languages which show both polysemies are virtually unattested. Indeed, extension of instrumental marker to subjects and objects simultaneously would violate distinguishability. In fact, the few languages, which combine ergative alignment for monotransitives with the secundative alignment for ditransitives use different cases for subjects and objects. Thus, Eskimo (see (2)) uses the Instrumental ("modal") case for T arguments, but uses Genitive ("relative") case for As, so no distinguishability violation arises. Instrumental polysemy involving the Agent and comitative functions might provide another instructive example. While both polysemy patterns of instrumentals are widely attested (Stolz, 2008, Narrog, 2008), the combined polysemy involving all three functions is not found (Stolz 1996; Palancar 2008), although it is perfectly compatible with the map, and thus predicted to occur. Distinguishability or recoverability of functions is again a likely explanation for this restriction. Indeed, in a language showing such extended polysemy an animate NP in the 'instrumental' case would be regularly ambiguous, allowing both agentive and comitative interpretation. Note that such ambiguity is not found with instruments as the latter are typically inanimate. Similar distitinguishability effects can be found in the domain of object encoding as well. Note that while extension of the dative case to P is common, further extension of the same marker to T is disfavored as it leads to ambiguity (recall the discussion of the Spanish examples (4)-(5)). It is to be expected that extended polysemies in other domains would also be dispreferred due to other factors, such as distinguishability⁶. Thus, invoking other functional factors can not only account for unexpected polysemy patterns, but also explain why certain (extended) patterns permitted by the map are not attested (recall the problem of overgeneration, raised by Cysouw).

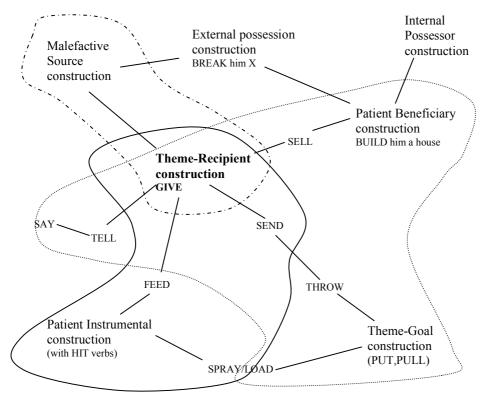
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⁶ The patterns of syncretism in person paradigms can provide another example of the role of distinguishability as an inhibiting factor. While in the person paradigms both "horizontal" syncretism patterns (involving number neutralization) and "vertical" syncretism patterns (involving person neutralization), are widely attested (Siewierska 2004; Cysouw 2003), these patterns are in complementary distribution. Siewierska (2004: 100) attributes complementarity of horizontal and vertical homophonies to the need of maintaining a certain level of explicitness within person paradigms.

5. Structural factors and pattern inheritance

Semantic maps can be produced not only for grammatical markers but also for verbs types of different valency (cf. Wälchli, this volume, for motion verbs), or, more generally, for different constructions (cf. Cristofaro, this volume). Malchukov, Haspelmath and Comrie (2007, henceforth MHC) propose the following semantic map (conceptual space) for the domain of ditransitive constructions. In addition to the ditransitive Recipient Theme construction (cf. *I gave him a book*), it includes a number of other three-argument constructions (see Margetts & Austin 2007 for an overview): the Malefactive Theme Construction (cf. *I stole a book from him*), Patient Beneficiary Construction (cf. *I built him a house*), Internal Possessor Construction (cf. *I saw his house*), External Possessor Construction (cf. Russian: *On mne slomal nogu* lit. 'He broke me the leg'), Theme Goal Construction (cf. *I put/threw a newspaper on the table*), and Patient Instrument Construction (cf. *I hit the dog with a stick*), among others.

The claim embodied in the semantic map in Figure 9 is that categories adjacent on that map will share a similar construction (valency pattern), and the same map can constrain diachronic extensions of certain constructions. In Figure 9, some of the extensions of the basic ditransitive constructions in three languages (Finnish, Eskimo and Jamul Tiipay) are represented, in order to illustrate basic alignment types: (i) indirective alignment (cf. the extension of the allative case in Finnish), (ii) secundative alignment (cf. the extension of the instrumental case in Eskimo), and (iii) neutral alignment (cf. the domain of the double object construction in Jamul Tiipay):



<u>Figure 9.</u> Basic ditransitive constructions in Jamul Tiipay, Finnish and Eskimo Jamul Tiipay: neutral (DOC)

Finnish: allative extensions

Eskimo: instrumental extensions

In the present context it is interesting to consider extensions of the double object construction across languages. Kittilä (2006), which is one of the very few studies of lexical variation in ditransitive constructions, concluded that 'give' is one of the verbs which is most likely to be found in a double object construction. There are good reasons for this preference. As argued by Kittilä, 'give' scores high on Hopper & Thompson's (1980) transitivity parameters. For example, unlike 'send', 'give' implies a successful transfer hence not only the theme but also recipient is affected by the action. Furthermore, issues of distinguishability/recoverability seem to be relevant as well, as argued in MHC). Insofar as T is typically inanimate and R animate, the respective roles are easily recoverable and need not be distinctively marked. Note that verbs involving two animate participants like 'introduce' are less commonly used in a double object construction cross-linguistically.

Kittilä (2006) notes some counterexamples to preferential use of 'give' in a double object construction. Some of these can be explained in terms of the same functional factors invoked above. Thus, verbs taking a malefactive source, like 'steal' arguably outrank 'give' verbs in affectedness (of the third participant), therefore they are likely to be found in the double object construction (see the domain of double object construction in Jamul Tiipay), and even may be preferably eligible for this construction as compared to 'give' verbs (as, for example, in Mandarin Chinese; see MHC for discussion). Some other exceptions, however, are puzzling and defy a functional explanation. Thus, in Malayalam, 'give' takes a dative construction, while "less canonical ditransitives" (Asher & Kumari 1997: 205) like 'entrust' and 'feed' take a double object construction:

- (7) Malayalam (Asher & Kumari 1997: 205) kuTTi enikkə peena tannu. child I.DAT pen give.PAST 'The child gave me the pen.'
- (8) *'naan puuccakkə paal koTuttu*I cow.PL.ACC grass eat.CAUS.PAST
 'I fed the cows grass.'

One would be hard pressed to provide a functional explanation for eligibility of these particular verbs for the double object construction in Malayalam, yet this can be easily explained in structural terms. As it turns out, both 'entrust' and 'feed' are causative verbs, and causatives (of transitives) take a double object construction in Malayalam. While cross-linguistic preference of causatives for the double object construction in its turn might need a separate explanation (see MHC for some proposals), it should be admitted that in the case of Malayalam the choice of the (double object) pattern is due to structural factors (morphological structure) and is not directly related to semantic similarities (between 'feed' and 'entrust' to the exclusion of 'give'). So while evaluating semantic maps such structural factors should be featured out, in order to account for apparent exceptions.

Another interfering factor discussed in MHC concerns verbal polysemy and pattern inheritance. Consider the case of Chechen (Nakh-Daghestanian), where 'hit' takes unexpectedly the dative-allative pattern, which it shares with caused motion verbs.

(9) Chechen (Bickel & Nichols 2008)

Daas ystaghna urs tyyxi-ra. father.ERG sheep.DAT knife.NOM strike.PST

'Father stabbed the sheep with the knife.'

Note that this pattern causes a discontinuity on the map in Fig. 9, as the allative strategy is found both with 'hit'-verbs and 'throw/put'-verbs, but is not found with the intermediate type of 'load'-verbs (which frequently align either with the former class or with the latter class, or with both, which leads to a familiar "spray-load alternation"). Now, as is clear from the glosses the verb in the 'hit'-construction is also used as a 'contact' verb ('strike at') elsewhere. This latter use naturally provides a motivation for the use of the allative-dative pattern, which is frequent with contact and caused motion verbs. Similar cases where the pattern of 'affect'-verbs (like 'hit') is modeled on 'caused motion' verbs are attested elsewhere. For example, in Ewe, the verb da which is used for 'hit' is used as a caused motion verb 'throw' elsewhere (Essegbey 1999:166). On one interpretation, this extension of the allative strategy into instrumental domain is accompanied by a semantic shift (e.g., from strike'/'throw' to 'hit'; see Malchukov 2005 for a general discussion of pattern polysemy and pattern inheritance in case marking). Thus polysemy of individual verbal lexemes can introduce inconsistencies into the semantic map, as the case frame would be motivated only by one of the meanings of the verbal lexeme. Such semantic shifts are problematic for semantic maps as they may incur a contiguity violation. In our case, either one needs to recognize a violation, or postulate an extra link connecting 'hit' and 'put' verbs. While this is always possible (but undesirable, as additional connections leads to less predictive maps) it also loses the generalization that this connection obtains when the verb itself is polysemous. Again, this violation is due to an interfering factor, manifesting the pressure for an analogical syntactic behavior on the part of polysemous items. This factor can be factored out on the map by checking for polysemies of individual verbs.

6. Diachronic factors

In this section we consider some diachronic factors which may cause violations of the contiguity of semantic maps. It should be noted that although the previous discussion has been mostly couched in synchronic terms, it is well known that semantic maps can be used to represent a diachronic dimension as well (for discussion of 'dynamicized' semantic maps see Van der Auwera & Plungian 1998; Haspelmath 2003; Narrog, this

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⁷ On another interpretation (suggested by B. Bickel, p.c.), such cases still represent a caused motion or contact verbs, like 'strike', rather than affect verbs, like 'hit'. On this approach, no contiguity violation arises, as the verb in (9) would not qualify as 'hit'. Yet, it is not clear whether this latter interpretation can be upheld either in this particular case or in general, as it seems to exclude the possibility of a semantic shift. In case of Nakh-Daghestanian languages, for example, it may be relevant that in Chechen the sentence in (9) denotes a successful not an attempted stabbing (Z. Molochieva, p.c.). The same is true of the closely related Ingush (J. Nichols, p.c.).

issue). Viewed diachronically, polysemies arise from meaning extensions of individual categories. In most cases, such meaning extensions are gradual and proceed stepwise along the network of functions on map without incurring a contiguity violation (Croft et. al. 1987). However, there are more complex diachronic scenarios, to be discussed in this section, which might be problematic for semantic maps. We will discuss three such cases: polygrammaticalization of lexical items, reinterpretation through reanalysis, and gram replacement.

6.1. Polygrammaticalization effects on semantic maps

Klamer (fc.) discusses grammaticalization of motion verbs in Papuan languages Teiwa and Kaera, which are on the way to develop into the 'oblique' case marker. Thus, in Teiwa, the marker *ma* (cf. Kaera *mi*) is used to mark Goals (as in (10)) and Instruments (as in (11)).

- (10) Teiwa (Klamer fc.)

 Hala ta gi er-an gula' ma haraba ma gad.

 people Top go do-Mod finish CONJ stable OBL put

 'The people went to do (that) then put (it) in a stable.'
- (11) *Uy nuk ped ma tei taxar* person one machete OBL wood cut 'Someone cuts wood with a machete.'

Such polysemy is quite unusual typologically, and is likely to incur a violation on a semantic map for case functions. Note that the Goal and Instrument are not contiguous on the map for syntactic case functions in Figure 8 above (or on similar maps of case functions proposed by Haspelmath 2003 and Narrog, this volume). The origin of this marker provides a straightforward explanation for this puzzling polysemy: as shown by Klamer, this marker represents early stages of grammaticalization of the verb ma 'come'. Note that at early stages, grammaticalization is likely to be more context-dependent (Hopper & Traugott 1993: 89), and lexical items involved in grammaticalization can develop into different markers in different constructions (a process sometimes referred to as 'polygrammticalizaton'; Craig 1991). In this particular case, the motion verbs has grammaticalized into an oblique marker when combined with inanimates, while in construction with animates it is rather used as a modal marker or a conjunction (cf. the first use of ma in (10) above). It is clearly futile to try to find a common denominator, or even shared components, for all these disparate functions. Thus, results of polygrammaticalization of lexical items are more likely to cover regions inconsistent with the map than those which represent further meaning extensions ("lateral" meaning shifts). One approach to handling these counterexamples would be to exclude cases when a grammatical item derives from the lexical one from consideration. At least in some cases this exclusion seems to be motivated, as it is still possible to argue that we are dealing with different contextual uses of a lexical item. In fact, this is a position ultimately adopted by Klamer (fc.), who argues that these disparate functions of ma in Teiwa should be better conceived as different contextual uses of the single intransitive motion verb with an unspecified argument position.

Thus, early grammaticalization is likely to incur violations of the established semantic maps. A legitimate question is why we don't encounter such cases more frequently, which might eventually undermine the semantic map enterprise. The reason is that polygrammaticalization of a lexical item is construction sensitive and requires a number of preconditions. Thus, for Kaera and Teiwa, Klamer notes the following factors which allowed polygrammaticalization: productiveness of verb serialization; non-distinction of finite and non-finite verbs; lack of three-valent verbs. Given that such preconditions are likely to be areally/genetically restricted, polygrammaticalization effects would not produce a consistent pattern across languages.

6.2. Diachronic factors: reanalysis

In a paper discussing the connections between benefactive and possessive domain, Daniel & Malchukov (forthcoming) propose the following map (simplified here), which can be seen as elaboration on the map in Fig. 8 from Malchukov & Narrog (2008) (cf. also Haspelmath 2003; Malchukov, Haspelmath & Comrie 2008; Narrog, this volume for maps for the dative domain).

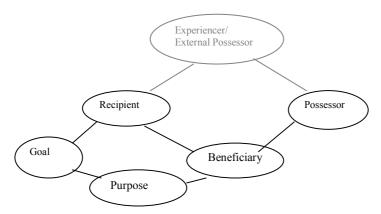


Fig. 10. The possessive-benefactive connection.

This map "zooms in" on the possessive-benefactive domain of case relations: additional functions, which do not appear on the map in Fig. 8, include Purpose (cf. *We went out for dinner*) and External Possessor/Experiencer (as in Fig 9); the latter functions will not concern us here. In the present context it is important that Goal and Possessor are not adjacent on that map, rather the connections are mediated through intermediate functions of Recipient and Beneficiary (the other route involving external possession is ignored here). The extension from Goal marking through Recipient (or Purpose) function to Beneficiary and further into the domain of attributive possession is not exceptional across languages. Heine (1997) regards it as one of the major encoding patterns ('schemas') for encoding possessors, the so-called Goal schema (Y exists for/to X > X has/owns Y). The Goal Schema is more frequent in the domain of predicative possession (cf. French: *Ce chien est à moi* 'This dog is mine (lit. to me)'), but is also occasionally extended to attributive possession as well (Heine 1997).

It is important to keep in mind that the term 'Goal schema' is a potentially misleading, since Heine's Goal, comprises Recipients and Beneficiaries as well. In accordance with the semantic map, partial polysemies between adjacent categories should

be more widely attested. Indeed, we find many cases where the same marking is used for Goal and Recipient (cf. English to), or for recipient and beneficiary (e.g., Russian dative), or for beneficiary and Possessor (e.g., -paj in Imbabura Quechua). Occasionally, we find "longer" polysemy chains, as when the allative marker in Finnish extends to Recipients and Beneficiaries (cf. Figure 9 for an extension of the allative strategy with different verbs types), or when possessive classifiers in Austronesian are extended to Beneficiaries and Recipients (Song 2005). In (Classical) Persian the purposive postposition $r\hat{a}$ (< $r\bar{a}diy$ for the sake of') spread to Beneficiaries and Possessors, on the one hand, and to recipients and further to Patients, on the other hand (Bossong 1985: 60 ff.; Hopper & Traugott 1993:158). Importantly, all these configurations are compatible with the semantic map in Fig. 10 (see Narrog, this volume, for more examples⁸). Occasionally, we find, still broader polysemies which includes Goal, Recipient, Beneficiary and Possessor. One example is Budukh, a Daghestanian language, where the 'alienable genitive' is used to mark Recipients and Goals.

- (12) Budukh (Authier, fc.)

 z-o k'ant

 me.obl-GEN knife

 'my knife'
- (13) z-o k'ant yıvaci me.obl-GEN knife(nom) gave 'He gave me the knife (temporarily)'

Authier (fc.) argues that the 'alienable genitive' had originally a locative function (better preserved in the genealogically related Kryz), and its extension to adnominal domain followed from a reinterpretation of ditransitive constructions. The important point here is that semantic evolution/extension is gradual: allative extends first to dative function then dative extends to genitive. As can be readily seen, these extensions are in accordance with the map in Fig. 10.

In Khwarshi (another Daghestanian language) a seemingly similar pattern is attested (Khalilova, forthcoming). Also in Khwarshi the same case in *-lo* is found both on Possessors and Goals, yet the situation is more complex in two respects. First, the use of this case in the Goal function is restricted to some 'contact' verbs (like 'touch', 'strike'), and is used with animate Goals exclusively (with inanimate Goals another case, Contessive, is used). Second, the use of *-lo* in the genitive function is also restricted insofar as it is used to modify oblique rather than absolutive arguments (Khwarshi, as other Daghestanian languages, is ergative). A third puzzling point is that in Khwarshi, the same case if found on (some) Goals and (some) Possessors, but neither of the intermediate functions (Recipient, Beneficiary) seems to be involved. How does this polysemy come about? This is clearly not an extension of the allative marker, as proposed for Budukh. Indeed, its Goal use is very restricted, so the possessive use is more

⁸ This map is largely compatible with a diachronic map for dative-related functions in (Narrog, this volume), as far as the same categories are concerned, but is more restricted in two respects: it does not postulate either a direct Recipient-Purpose connection (which is not supported by the data) or a direct Goal-Benefactive connection (which seems to be mediated by the Recipient function in languages cited as supporting evidence: English, Greek, Ik).

likely to be the source function. One obvious explanation which accounts for peculiarities of this construction is that the 'oblique' Genitive is used in the allative function due to omission of the head noun referring to a body-part (cf. *laga-qa* body-CONT in (15)).

- (14) Khwarshi (Zaira Khalilova and Raisat Karimova, p.c.)

 De ile-lo kraska l-ex-i.

 I.ERG 3SG.OBL-GEN2 paint IV-touch-PST:W

 'I colored her (with the paint).'
- (15) De ile-lo k'ak'a-qa kraska l-ex-i.
 I.ERG 3SG.OBL-GEN2 leg-CONT paint IV-touch-PST:W
 'I colored her leg (with the paint).'

Thus, the genitive-goal construction as in (14) developed from the construction in (15) where the goal is in the Contessive case and the possessor predictably takes the oblique GEN (as it modifies an oblique argument). Similar explanation has been proposed by Comrie *et al.* (2007) for Tsez where the oblique genitive can also encode goals under similar conditions.

Thus, unlike what we observed in Budukh, the Goal-Possessor polysemy in Khwarshi is not due to a meaning extension but rather results from reanalysis. In such cases polyfunctionality need not comply with the map. Yet as in the case of polygrammaticalization discussed above, such changes are likely to be less common, as they presuppose certain conditions. In case of Daghestanian languages (Khwarshi and Tsez), availability of a special genitive case used for oblique arguments arguably made it easier for the body part to be omitted, as its meaning is still recoverable. Note also that not all cases of reanalysis are problematic for semantic maps. Thus, reanalysis of the recipient-beneficiary to possessor involving 'internalization' of beneficiaries into an NP (as posited for Budukh by Authier), or reanalysis in the opposite direction involving 'externalization' of possessors (as posited for Austronesian by Song 2005), do not violate the map.

6.3. Semantic map violations due to gram replacements

Sadanobu and Malchukov (2008) discuss evolution of the aspecto-temporal forms in Japanese, in particular, the perfect-continuous form in TEIRU and the past form in TA. One puzzling point that has attracted much attention in the literature (see Sadanobu and Malchukov 2008 for an overview) is that TA can perform two seemingly opposite functions: apart from the general past meaning as in (16), it can also be used as emphatic (or 'mirative') present, as in (17):

(16) Japanese

Kinou ame-ga sanjikan <u>fu-tta</u>. yesterday rain-NOM 3 hours fall-TA 'Yesterday it rained for three hours.'

(17) Japanese

[At a sudden sight of a wild hog during an excursion in the mountains]

A, hora, annna tokoro-ni inoshishi-ga <u>i-ta-yo!</u>

oh look such place-LOC wild hog-NOM exist-TA-AFF

'Oh, look, there is a wild bore over there!'

While the polysemy confined to past tense and present mirative meanings is virtually unattested across languages, it is conceivable in the light of diachronic data. Historically TA derives from the perfect-resultative TARI, which was subsequently reanalyzed as a general past form. Yet, it has retained the residual mirative functions, related to its original resultative function. Note that although TA is related to perfect historically, synchronically, it does not qualify as a perfect marker. As shown in (16) it can freely combine with time adverbials (a context more characteristic of imperfects cross-linguistically) and is furthermore banned from some context characteristic of perfects cross-linguistically. The perfect function is currently performed by TEIRU (which also has continuous uses elsewhere). Thus the puzzling polysemy of TA in Modern Japanese can be attributed to the fact that the perfect meaning has been partially 'taken-over' by TEIRU, which is a 'young perfect' replacing the 'old perfect' in TA in its core function. This scenario is depicted in the Figures 11 and 12 below representing the hypothesized semantic evolution of the TA form.

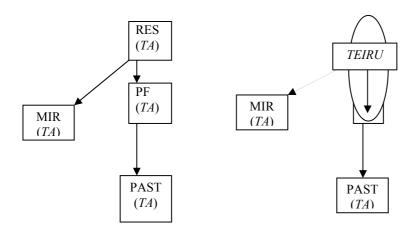


Fig. 11: Perfect-resultative meaning of *TA* and its extensions

Fig. 12. *TA* displaced in the central functions by *TEIRU*

These figures may be viewed as a representation of a grammaticalization path, as familiar from the grammaticalization literature (see Bybee *et al.*1994 for grammaticalization of perfects-anteriors), but also as a semantic map incorporating a diachronic dimension. Note that the map in Fig 12 is ill-formed as the domain of *TA*-marking is no longer contiguous on the map. This illustrates a well known case of contiguity violations, conditioned by gram replacement. Similar examples have been cited in the literature for different grammatical domains, including voice (Croft *et. al.* 1987), mood (Van der Auwera & Plungian 1998), tense (Haspelmath 2003) and clause combining (Malchukov 2004).

 $^{^{9}}$ Thus, unlike perfect forms in other languages TA is not compatible with the adverb mada 'yet' (Ogihara 1999: 332).

As noted in the literature, such processes of gram replacement present a challenge for semantic maps, as - viewed synchronically - they violate contiguity of semantic maps (see also Zwarts, this volume). In my view, such exceptions can be dealt with on the assumption that the new grams replacing the old ones are structurally "heavier", that is are morphologically more complex. This is obviously true for Japanese, but seems to hold for most other cases discussed in the literature (e.g., renewal of reflexive markers in the middle domain discussed in Croft *et. al.* 1987, renewal of the present case in Turkish, discussed by Haspelmath 2003: 236, and renewal of the concessive conditional function in Russian, discussed in Malchukov 2004). Thus even in the absence of the historical evidence we can account for such exceptions by a simple metrics comparing structural complexity of the "offending" category to the adjacent discontinuous grams (the discussion of complexity metrics is discussed in another connection by Mauri, this volume).

Again a question arises, why such violations are not more common cross-linguistically, given that grammaticalization is a pervasive process, and grams are often driven through the same grammaticalization paths. Yet it is important to keep in mind that such renewal processes do not necessarily lead to a contiguity violation. First, such violations can occur only if a grammaticalization path is 'branching' in a certain domain. Second, at early stages, extension of a new gram usually yields an overlap rather than total replacement. On the other hand, at a later stage of grammaticalization, the "new" gram will replace the "old" one in the extended functions as well, so that no contiguity violations arise.

Thus, in general, semantic maps are better suited to represent the most common scenarios, involving meaning extensions of particular grams, while more complex scenarios, involving gram interaction are more likely to yield contiguity violations. Yet, as has also been observed with regard to polygrammaticalization and reanalysis, the latter scenarios are more complex, as they require more preconditions. Therefore such violations are predicted to be rare and can be featured out on a large scale semantic map.

7. Conclusions

In this paper I have argued that semantic similarity is not the only factor which motivates polysemy patterns cross-linguistically, as well as shown hat these other factors (markedness, distinguishability, etc) might give rise to polysemies problematic for the established semantic maps (i.e. induce contiguity violations). It should be noted (as pointed out by S. Cristofaro, p.c.) that it is not the case that these other factors always yield contiguity violations on the established semantic maps. Also, I am not claiming that patterns originating from these other factors should always contradict a particular semantic map. In some cases a contiguity violation can be remedied by introducing further functions and/or connections (cf. the discussion of the agent-patient polysemy pattern found in some Iranian languages in section 4). Yet, although the correlation is not absolute, it is certainly true that ill-formed (discontinuous) patterns on semantic maps are most often determined by factors other than semantic affinity. Note that an extended polysemy in itself challenges the general assumptions behind the semantic map approach insofar as (non-adjacent) categories involved in extended polysemies need not share a common semantic component (recall again the discussion of the double oblique pattern in

Iranian languages).¹⁰ On the other hand, although the effects of other factors need not always be problematic for an established semantic map, they do not consistently support the configuration established on the basis of semantic affinities either.

Thus, semantic affinity is one of the factors which conditions cross-linguistically recurrent polysemies, but is not the only factor. The other factors which may give rise to identical encoding include markedness, economy, distinguishability, as well as structural and diachronic factors. Yet, as compared to semantic affinity, the effects of other factors are less pervasive and less consistent for a number of reasons. Thus, markedness effects, by definition, are confined to neutralization in the marked category, while economy considerations regulate distribution of the zero-marked grams. The role of distinguishability as a factor contributing to polyfunctionality is also limited to specific contexts (recall the discussion of Spanish ditransitives in §3), while elsewhere it rather favors different encoding of categories which might be otherwise confused (recall the inhibiting function of distinguishability discussed in §4). The effects of structural factors and polysemy patterns, as observed in individual languages, are not totally random, yet they do not easily generalize cross-linguistically, and therefore do not yield a consistent pattern. Finally, violations of the semantic maps can occur under certain diachronic scenarios (polygrammaticalization of a lexical item, reanalysis, gram replacement), but these scenarios are more complex, they require certain preconditions and are therefore expected to be more rare as compared to the gradual meaning extensions consistent with the map.

Thus, if we conceive a semantic map approach as a method for uncovering semantic relationship between categories, these interfering factors should be considered as "noise" that should be featured out before the semantic map can feed the semantic analysis. Above we outlined certain heuristics how this can be achieved:

- Consider polysemy patterns of the unmarked member to control for effects of 'inflectional markedness';
- ➤ Semantic maps should be restricted to cases of overt marking; distribution of zero-markers may be driven by economy/recoverability rather than similarity;
- ➤ Control (code) for structural factor to reduce "noise";
- ➤ Control for polysemy patterns of verbs, as a similar syntactic pattern can be due to analogical extension (pattern inheritance);
- Exclude items having both grammatical and lexical functions to control for polygrammaticalization effects which can lead to discontinuities.
- ➤ Control for "heaviness" (structural complexity) of "offending" markers as compared to the to the adjacent discontinuous grams to check for possibility of gram replacement.

Once the effects of interfering factors are featured out, a *similarity map* (as obtained through multidimensional scaling, for example) reduces to a *semantic map*, representing a semantic residue of the similarity map. At the next stage, the semantic map can feed the semantic analysis aiming at identifying common semantic components of (adjacent)

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¹⁰ Joost Zwarts (this volume) suggests that common semantic features may be lacking only in polysemy chains on semantic maps with 'cycles'. In my view, however, any (non-adjacent) categories involved in a polysemy chain may lack common semantic components, irrespective of the configuration of the semantic map.

related functions. On the other hand, minority patterns introducing "noise" should be also analyzed in their own right as they can provide an important insight about the different factors contributing to polyfunctionality. To conclude: further advances in the semantic map approach crucially depend not only on developments of new representation (visualization) techniques, but also on advances in the analysis of the established polyfunctionalithy patters (see also Zwarts, this volume, Cristofaro, this volume, for related proposals).

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